



08/23/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

Attorney Docket No. SJO9-2000-0201US1/IBM1P007

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Mailing Label

Number **EL867702002US**

First Named Inventor:

Calvin LO

JC971 U.S. PTO

09/938458



## UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Calvin Lo, Robert G. Biskeborn, Annayya P. Deshpande, A.J. Torres, Kevin T. Luong, and Abel Taina

For: IMPROVEMENTS FOR THIN-FILM TAPE HEAD PROCESSES

Application Elements:

- ☒ 30 Pages of Specification, Claims and Abstract  
☒ 13 Sheets of Drawings  
☐ Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of **\$40.00** enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Small Entity Statement(s)  
☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)  
☐ Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE			\$355	\$	OR	\$710	\$ 710.00
TOTAL CLAIMS	35	-20 = 15	x09 =	\$	OR	x18 =	\$ 270.00
INDEP CLAIMS	09	-03 = 06	x40 =	\$	OR	x80 =	\$ 480.00
[ ] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$ 00.00
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<b>\$1,460.00</b>

☒ No check enclosed, please charge Deposit Account No. 09-0466 (Order No. SJO9-2000-0201US1/IBM1P007)

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 09-0466 (Order No. SJO9-2000-0201US1/IBM1P007).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 09-0466 (Order No. SJO9-2000-0201US1/IBM1P007).

☒ Please send correspondence to the following address:

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**\*28875\***

Customer No.:

**28875**

PATENT TRADEMARK OFFICE

Date:

8/23/01

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